

## 22 Assessment

***Choose the correct answer by circling the corresponding letter on your answer sheet.***

- 1. The overall goal of the DHS Compliance Program is compliance with all applicable laws, regulations, and other standards that govern the conduct of healthcare organizations and governmental entities.**
  - a. True
  - b. False
  
- 2. The Code of Conduct provides detailed information on all DHS policies; therefore, it is not necessary to refer to other DHS/facility policies or procedures.**
  - a. True
  - b. False
  
- 3. You must report:**
  - a. Only conduct that you are sure is inappropriate
  - b. Only conduct that you suspect to be illegal
  - c. Conduct that you suspect is illegal or in violation of a DHS/facility policy
  - d. Only conduct that could result in a loss of County funds
  
- 4. You should discuss suspected misconduct with:**
  - a. Your supervisor
  - b. Your Local Compliance Officer
  - c. The DHS Compliance Hotline
  - d. The County Fraud Hotline
  - e. Any of the above
  
- 5. Only DHS employees are required to follow the Code of Conduct.**
  - a. True
  - b. False
  
- 6. If you report suspected misconduct:**
  - a. Your supervisor can transfer you out of the unit to a less desirable position
  - b. You are protected by federal and State law if you file a whistleblower lawsuit
  - c. You are protected from retaliation by the County
  - d. Both B and C

- 7. The federal False Claims Act prohibits creating inaccurate documents to support a claim to the federal government for payment.**
- a. True
  - b. False
- 8. DHS' goal is to ensure that all claims for reimbursement:**
- a. Are accurate
  - b. Conform to the applicable Federal and State laws and regulations
  - c. Contain information in all required fields, regardless of whether we know that the information is correct
  - d. Both A and B
  - e. All of the above
- 9. It is appropriate to falsify DHS documents when:**
- a. You need to meet a deadline
  - b. Your boss asks you to
  - c. You are unsure of the correct information
  - d. It is never appropriate to falsify DHS documents
- 10. If you are required to have a professional license, certification or credential to do your job, you are responsible for making sure it is kept active and current.**
- a. True
  - b. False
- 11. If your family member owns a company that DHS is considering doing business with, you may participate in the decision of whether or not to do business with this company.**
- a. True
  - b. False
- 12. Federal and State anti-kickback laws strictly prohibit payments that are intended to encourage the referral of patients.**
- a. True
  - b. False
- 13. The selection of contractors/vendors is made based on technical excellence, price, service, and personal relationships.**
- a. True
  - b. False

**14. You may accept certain gifts from individuals or organizations that have a business relationship with DHS, such as:**

- a. Gift certificates
- b. Ticket to a sporting event
- c. A box of candy intended for the work unit
- d. Trips

**15. You should only answer the specific questions asked by an auditor/investigator and not provide any additional information that would make your response more accurate.**

- a. True
- b. False

**YOU ARE DONE!**

**Please turn in your answer sheet and signed Code of Conduct Acknowledgement to your supervisor/manager.**

**COMPLIANCE AWARENESS TRAINING**  
**ASSESSMENT ANSWER SHEET**

Date:	Please PRINT Name (Last Name, First):	Employee No.:	Department Name:	Pay Location:
		Dept. No.:	Work Area:	Phone No.:

***Instructions: Please circle the best answer.***

1. a b
2. a b
3. a b c d
4. a b c d e
5. a b
6. a b c d
7. a b
8. a b c d e
9. a b c d
10. a b
11. a b
12. a b
13. a b
14. a b c d
15. a b

**YOU ARE DONE!**

**Please turn in this Assessment Answer Sheet and your signed Code of Conduct Acknowledgement to your supervisor/manager.**

# COMPLIANCE AWARENESS TRAINING

## ASSESSMENT ANSWER KEY

1. a
2. b
3. c
4. e
5. b
6. d
7. a
8. d
9. d
10. a
11. b
12. a
13. b
14. c
15. b

## DEPARTMENT OF HEALTH SERVICES COMPLIANCE AWARENESS TRAINING

### ***CODE OF CONDUCT ACKNOWLEDGEMENT***

**Instructions:** After you have completed the Compliance Awareness Training, please complete this Code of Conduct Acknowledgement and submit it to your supervisor or trainer.

Date:	Please PRINT Name (Last Name, First):	Employee No.:	Department Name:	Pay Location:
		Dept. No.:	Work Area:	Phone No.:

I acknowledge that I have received the Department of Health Services' Code of Conduct and completed the Compliance Awareness Training. I agree to abide by the Code of Conduct as it relates to my job responsibilities. I understand that non-compliance with the Code of Conduct can subject me to disciplinary action up to and including discharge from service.

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

c: Workforce Member  
Unit File  
Official Personnel/Contractor File